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10/30/02  
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Docket No.: 1460.1016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Yoshinobu KUBOTA, et al.

Serial No. 09/761,778

Group Art Unit: 2882

Confirmation No. 5961

Filed: January 18, 2001

Examiner: Chih Cheng G. Kao

For: OPTICAL CIRCUIT

AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 CFR 1.8(a)  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231  
on October 8, 2002  
By: STAAS & HALSEY LLP  
Date: October 8, 2002

This is in response to the Office Action mailed July 8, 2002, and having a period for response set to expire on October 8, 2002.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

**IN THE DRAWINGS:**

Please REPLACE FIGS. 1-4 and 6-8 with the enclosed new FIGS. 1a-1b, 2a-2c, 3a-3d, 4a-4c, 6a-6f, 7a-7c and 8a-8d.

**IN THE SPECIFICATION:**

Please AMEND the second full paragraph at page 4 as follows:

--Figs. 1(a) and 1(b) are views each showing the principle configuration of the invention;--

[Please AMEND the third full paragraph at page 4 as follows:]

--Figs. 2(a) through 2 (c) are views each showing the construction of an optical modulator according to an embodiment of the invention;--

[Please AMEND the fourth full paragraph at page 4 as follows:]